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OCT 20 2006

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Date: October 20, 2006

To: USPTO

Firm:

Tel. #:

Fax #: 1.571.273.8300

From: Laurence P. (Larry) Colton

Your Ref.: 09/990,796

Our Ref.: 148716.00700

Number of pages including this cover sheet 29  
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TRANSMITTAL  
FORM

(to be used for all correspondence after initial filing)

Application Number	09/990798
Filing Date	November 14, 2001
First Named Inventor	Focke
Art Unit	3721
Examiner Name	Truong, Thanh K.
Total Number of Pages in This Submission	28
Attorney Docket Number	148716.00700 (20805.007US)

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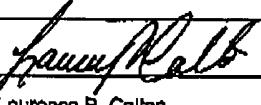
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ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	Please direct any questions to the attorney of record, Laurence P. Colton, at 404.572.6710.	
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm Name	Powell Goldstein LLP	
Signature		
Printed name	Laurence P. Colton	
Date	20 October 2006	Reg. No. 33,371

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Signature			
Typed or printed name	Laurence P. Colton	Date	20 October 2006

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POWELL, GOLDSTEIN (DTI-19)

NO. 284 P. 3

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

 Docket No.  
 148716.00700

In Re Application Of:

FOCKE

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/990,796	November 14, 2001	Truong, Thanh K.	25207	3721	3093

**Title:** PROCESS AND APPARATUS FOR PRODUCING PACKS WITH AN OUTER WRAPPER  
 AS WELL AS A REEL UNIT

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 Address to:  
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 Alexandria, VA 22313-1450
**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

**OR**

the fee set forth in 37 CFR 1.17(p).

P10A/REV05

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

Docket No.

148716.00700

In Re Application Of:

FOCKE

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/990,796	November 14, 2001	Truong, Thanh K.	25207	3721	3093

**Invention: PROCESS AND APPARATUS FOR PRODUCING PACKS WITH AN OUTER WRAPPER AS WELL AS A REEL UNIT**
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**OCT 20 2006****COMMISSIONER FOR PATENTS:**

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

**Statement Under 37 CFR 1.97(e)(1)**

Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

**Statement Under 37 CFR 1.97(e)(2)**

No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.


  
Signature

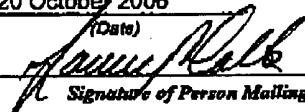
Dated: 20 October 2006

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**Substitute for form 1449/PTO**

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Sheet 1 of 1

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Sheet 1 of 1

Application Number	09/990796
Filing Date	November 14, 200
First Named Inventor	Focke
Art Unit	3721
Examiner Name	Truong, Thanh K.
Attorney Docket Number	148716.00700

**U. S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)			
		JP 2000-229606	08-22-2000	KOKAI	
		JP 56-032218	04-01-1981	KOKAI	
		JP 06-144424	05-24-1994	KOKAI	
		JP 58-201611	11-24-1093	KOKAI	
		JP 2000-191196	07-11-2000	KOKAI	
		JP 2000-016417	01-18-2000	KOKAI	

Examiner Signature		Date Considered	
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**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 601.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(Use as many sheets as necessary)*

Sheet 1

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## NON-PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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